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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/528,469

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4292-101

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01/14/2010

EXAMINER

REESE, DAVID C

ART UNIT

PAPER NUMBER

3677

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DELIVERY MODE

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PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 10/528,469	<b>Applicant(s)</b> DINGWALL, DAVID	
	<b>Examiner</b> DAVID REESE	<b>Art Unit</b> 3677	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 09 July 2009.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-4, 7-13, 19, 20 and 31-47 is/are pending in the application.
- 4a) Of the above claim(s) 47 is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-4, 7-13, 19, 20 and 31-46 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |   |   |
|---|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)                    | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)         | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____   | 6) <input type="checkbox"/> Other: _____                          |

### **DETAILED ACTION**

THIS FINAL ACTION IS RESPONSIVE TO THE AMENDMENT FILED 10/15/2009.

- Claims 5-6 and 27-28 were canceled.
- Claims 1-2, 14, and 39 were amended.
- Claims 44-47 are new.
- Claims 1-4, 7-26, and 29-47 are pending.

### ***Election/Restrictions***

[1] Newly submitted claim 47 is directed to an invention that is independent or distinct from the invention originally claimed for the following reasons: it is directed to a non-elected species (figs. 8-9).

Since applicant has received an action on the merits for the originally presented invention, this invention has been constructively elected by original presentation for prosecution on the merits. Accordingly, claim 47 withdrawn from consideration as being directed to a non-elected invention. See 37 CFR 1.142(b) and MPEP § 821.03.

### ***Claim Rejections - 35 USC § 102***

[2] The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(a) the invention was known or used by others in this country, or patented or described in a printed publication in this or a foreign country, before the invention thereof by the applicant for a patent.

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

(c) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

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[3] Claims 1-4, 7-13, 19-20, and 31-46 are rejected under 35 U.S.C. 102(e(1)) as anticipated by Pippins, US-6,757,995.

As for Claim 1, Pippins discloses of an apparatus including:

a first component (30) defining a first passage;

a second component (42) connectable with the first component and defining a second passage, said passages being aligned when the components are connected to each other;

a rotatable locking pin (66) having one or more affixed formations (70) and a retaining element (38) having one or more affixed engaging formations (39, 40 are affixed to 38 via 72) complementary to said formations (70) and each configured for being accommodated in the aligned passages, the rotatable locking pin (66) being rotatable (see col. 6, line 5) relative to the components, in the aligned passages, the components between

a locked position (fig. 3) in which the formations (70) of the locking pin (66) engage at least one of the engaging formations (39, 40) of the retaining element (38) so as to prevent withdrawal of the locking pin from the aligned passages and to prevent separation of the components, and

a free position (39, 40 into the formations of 70, allowing the removal of both 38 and 66) in which the pin is slidably removable from the aligned passages to permit separation of the components,

wherein said formations (70) of the pin (66) at least partly disposed along circumference of the pin (66), and

wherein the pin (66) is configured so that said formations (70) interlock with the engaging formations (39, 40) of the retaining element (38) as the pin (66) is rotated (see col. 6,

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line 5) from the free position (39, 40 into the formations of 70, allowing the removal of both 38 and 66) to the locked position (fig. 3) to prevent separation of the first (30) and second (42) components.

Re: Claim 2, wherein the first component (30) and second (42) component are connected to each other, they can be separated by effecting relative movement of the components in opposite disengagement directions,

and wherein interlocking the pin (66) with the retaining element (38) within the aligned first and second passages prevents the relative movement of the first and second in said opposite disengagement directions and also prevents separation of the first and second components (see fig. 3, prevents relative movement and separation of the first and second components).

Re: Claim 3, wherein the pin (66) when accommodated in the aligned passages, extends in a direction non-parallel to the disengagement directions.

Re: Claim 4, wherein the pin (66), when accommodated in the aligned passages, extends in a direction substantially perpendicular to said disengagement directions.

Re: Claim 7, wherein the pin (66) has an axis of rotation and rotation of the pin (66) around that axis moves the pin between the locked position (Fig. 3) and the free position (39, 40 into the formations of 70, allowing the removal of both 38 and 66) and one end of the pin along the axis rests on a part of the first or the second.

Re: Claim 8, wherein upon rotating the locking pin (66) into the locked position (fig 3) to interlock with the retaining element (38), the engagement between the locking pin (66) and the retaining element (38) prevents (a) withdrawal of the locking pin (66) from the aligned passages and (b) withdrawal of the locking pin (66) away from the retaining element (38).

Re: Claim 9, wherein the complimentary formations (70) include the land areas that are substantially flat (70).

Re: Claim 10, wherein the complimentary formations (70) include land areas that are substantially concave (adjacent flat part of 70).

Re: Claim 11, wherein the complimentary formations (70) form a slot helical corkscrew (70 is a helical slot around the 66) about the pin (66) so that rotation (see col. 6 lines 3-9) of the pin from the free position (39, 40 into the formations of 70, allowing the removal of both 38 and 66) to the locked position (fig. 3) in one direction draws the pin further into the aligned passages (the pin is brought upwards to a locked position, further into the passage), and upon rotation of the pin in the opposite direction from the locked position to the free position drives the pin out of the aligned passages (once in the free position, the pin is free to be removed from the components with the retaining member).

Re: Claim 12, wherein the pin (66) has therein an insertion recess (70) extending, longitudinally relative to the pin (66) to accommodate the retaining element (via 39, 40) and to permit insertion of the pin (66) into the aligned passages when the insertion recess (70) is aligned with the retaining element (via 39, 40, the pin and retaining element are free to be inserted into the aligned passages).

Re: Claim 13, wherein the insertion recess (70) does not extend along an entire longitudinal length of the pin (66).

Re: Claim 19, wherein at least part (39, 40) of said retaining element is resiliently movable under a force exerted by the pin (60) when the pin is rotated from said free position to

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said locked position (the detents 39, 40 move into 70 as the pin is rotated from a free to locked position).

Re: Claim 20, said part (39, 40) of the retaining element is resilient and allows said resilient movement (39 and 40 resiliently move against the pin), while urging said part against the pin (660).

Re: Claim 31, wherein the first (30) and second (42) components are machinery components, the first component being a wear-component configured to wear with use, and the second component is configured to support the first component.

Re: Claim 32, wherein the first component (30) and second (42) component are components of earth moving equipment.

Re: Claim 33, wherein the first component (30) and second (42) component are a tooth and an adaptor, respectively, of earth moving equipment, the adaptor being configured to mount the tooth to an earth moving bucket.

Re: Claim 34, wherein the first component (30) and second (42) component are a shroud and adaptor, respectively, of earth moving equipment, the adaptor being configured to mount the shroud to an earth moving bucket.

Re: Claim 35, wherein the first component (30) is one of a tooth and a shroud, and the second (42) component is a lip of an earth moving bucket.

Re: Claim 36, wherein the pin (66) includes a non-circular formation at an end of the pin for engagement with a rotatable tool to effect rotation of the pin between the free and locked positions (see col. 6, lines 2-9).

Re: Claim 37, wherein at one end, the pin (66) has a cavity therein (see col. 6, lines 2-9), and an aperture extending from the end to communicate with the cavity and to permit insertion of a pin-removal tool (80) into the cavity to withdraw the pin (66) from said aligned passages by engagement of the pin-removal tool (80) with the cavity (see col. 6, lines 2-9).

Re: Claim 38, further comprising a cap (64, 65) releasably engageable with said end of the pin (66).

As for claim 39, Pippins teaches of a method of releasably interlocking a first component (20) and a second (32) component, wherein the first component defines a first passage and the second component defines a second passage, the method including the steps of:

connecting the first component (20) to the second component (32) so that the first and second passages are aligned with each other;

providing in one of said components a retaining element (38) having one or more affixed engaging formations (39, 40 are affixed to 38 via 72).

inserting a pin (66), which pin has attached a pair of spaced walls (around 70) at least partly defining a circumferentially extending formation slot (70) for engagement with said one or more affixed engaging formations (39, 40) said retaining element (38 via 39, 40) into the aligned passages so that, when so inserted, the pin is in a free position (when the pin is inserted it is initially placed in a free position, where the detents are in the pin) in which it is free to be selectively withdrawn from the aligned passages;

rotating the pin (see col. 6, line 5) relative to the components, from the free position to a locked position (fig. 3) so that said one or more affixed engaging formations (39, 40) of said retaining element (38) interlock (via 39, 40) with said formation slot (70) defined by the attached



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pair of spaced walls to thereby prevent withdrawal of the pin from the aligned passages and hence to prevent separation of the components.

Re: Claim 40, wherein the step of connecting the first component to the second component includes connecting the components such that they can substantially only be separated by effecting relative movement of the components in opposite disengagement directions, and the step of inserting the pin includes inserting the pin such that, when the pin extends from the first passage into the second passage, the pin prevents said relative movement of the components in said opposite disengagement directions.

Re: Claim 41, wherein said engaging formation comprises a ball (39, 40) and said resilient element comprises a coil spring (63).

Re: Claim 42, wherein said engaging formation comprises a ball (39, 40).

Re: Claim 43, wherein said resilient element comprises a coil spring (63).

Re: Claims 44, 46, wherein said affixed formations (70) are affixed at a corresponding set of first fixed locations of the rotatable locking pin (66),

wherein said affixed engaging formations (39, 40) are affixed at a corresponding set of second fixed locations (72) of the retaining element (38),

wherein the first fixed locations of the rotatable locking pin (66) are the same first fixed locations of the rotatable locking pin (66) regardless of whether the locking pin is in the locked position or in the free position; and

wherein the second fixed locations (72) of the retaining element (38) are the same second fixed locations of the retaining element regardless of whether the retaining element (38) is in the locked position or in the free position.

Re: Claim 45, wherein when the first (20) component and the second (32) component are connected to each other, they can be separated by effecting relative movement of the first (20) and second (32) components in opposite disengagement directions, and

wherein interlocking the rotatable locking pin (66) with the retaining element (38) within the aligned first and second passages prevents the relative movement of the first and second components in said opposite disengagement directions and also prevents separation of the first and second components.

***Allowable Subject Matter***

[4] Claims 14-15, 16-17, 18, 21-26, 29-30 are allowed.

As for Claim 14, the prior art, incorporating other corresponding limitations as set forth above, does not teach of a withdrawal recess which is displaced longitudinally on a circumference of the pin and apart from the insertion recess, and which withdrawal recess permits withdrawal of the pin from the aligned passages, when the withdrawal recess is aligned with the retaining element. Claim 15 is dependent upon claim 14.

As for claims 16 and 18, the prior art does not teach of on the components being closed at one end, such that the aligned passages are closed at one end (claim 16), as well as wherein the passage of one of said components includes two coaxial spaced-apart sub-passages and the passage of the other component is disposed between, and aligned with, said sub-passages when the components are connected to each other, to form said aligned passages (claim 18). Claim 17 is dependent upon claim 16.

As for claim 21, the prior art does not teach of the resilient element including an elastomeric support and an engagement element, and wherein the engagement element of the

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retaining element of the retaining element abuts the elastomeric support. Claims 22-26 and 29-30 are dependent upon claim 21.

***Response to Arguments***

[5] Applicant's amendments and arguments filed 10/15/2009 regarding rejections under 35 U.S.C. 102 have been fully considered but they are not persuasive. The examiner maintains that the prior art of Pippins remains anticipatory towards the current amended claim listing. More specifically, the examiner maintains that Pippins discloses of a rotatable locking pin (66) having one or more affixed formations (70) and a retaining element (38) having one or more affixed engaging formations (39, 40 are affixed to 38 via 72). Applicant is reminded that claims (in this case the term, "affixed") in a pending application should be given their broadest reasonable interpretation. *In re Pearson*, 181 USPQ 641 (CCPA 1974). Further, it is the claims that define the claimed invention, and it is claims, not specifications that are anticipated or unpatentable. *Constant v. Advanced Micro-Devices Inc.*, 7 USPQ2d 1064.

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*Conclusion*

[6] **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

[7] Any inquiry concerning this communication or earlier communications from the examiner should be directed to David C. Reese whose telephone number is (571) 272-7082. The examiner can normally be reached on 7:30 am-6:00 pm Monday-Thursday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Victor Batson can be reached at (571) 272-6987. The fax number for the organization where this application or proceeding is assigned is the following: (571) 273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

/DAVID REESE/  
Examiner, Art Unit 3677

/Victor Batson/  
Supervisory Patent Examiner, Art Unit 3677